

# RAMANUJAN'S THEORY OF THETA FUNCTIONS

Bruce Berndt

University of Illinois  
at Urbana-Champaign

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## Ramanujan to Hardy

12 January 1920

I discovered very interesting functions recently which I call “Mock”  $\vartheta$ -functions. Unlike the “False”  $\vartheta$ -functions (studied partially by Prof. Rogers in his interesting paper) they enter into mathematics as beautifully as the ordinary  $\vartheta$ -functions.

## Definition

Ramanujan's general theta function  $f(a, b)$  is defined by

$$f(a, b) := \sum_{n=-\infty}^{\infty} a^{n(n+1)/2} b^{n(n-1)/2}, \quad |ab| < 1.$$

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$$f(a, b) := \sum_{n=-\infty}^{\infty} a^{n(n+1)/2} b^{n(n-1)/2}, \quad |ab| < 1.$$

$$\varphi(q) := f(q, q) = \sum_{n=-\infty}^{\infty} q^{n^2},$$

$$\psi(q) := f(q, q^3) = \sum_{n=0}^{\infty} q^{n(n+1)/2},$$

$$f(-q) := f(-q, -q^2) = \sum_{n=-\infty}^{\infty} (-1)^n q^{n(3n-1)/2}.$$

## Jacobi Triple Product Identity

$$f(a, b) = (-a; ab)_{\infty} (-b; ab)_{\infty} (ab; ab)_{\infty}.$$

Jacobi Triple Product Identity

$$f(a, b) = (-a; ab)_{\infty}(-b; ab)_{\infty}(ab; ab)_{\infty}.$$

## Definition

### Complete Elliptic Integral of the First Kind

$$K(k) := \int_0^{\pi/2} \frac{d\theta}{\sqrt{1 - k^2 \sin^2 \theta}},$$

where  $k$ ,  $0 < k < 1$ , is the *modulus*.

# Modular Equation

## Definition

Let  $K, K', L,$  and  $L'$  denote complete elliptic integrals of the first kind associated with the moduli  $k, k' := \sqrt{1 - k^2}, \ell,$  and  $\ell' := \sqrt{1 - \ell^2},$  respectively, where  $0 < k, \ell < 1.$  Suppose that, for  $n \in \mathbb{Z}^+,$

$$n \frac{K'}{K} = \frac{L'}{L}. \quad (1)$$

A relation between  $k$  and  $\ell$  induced by (1) is called a **modular equation of degree  $n$** . Set

$$\alpha = k^2 \quad \text{and} \quad \beta = \ell^2.$$

We often say that  $\beta$  has degree  $n$  over  $\alpha.$

# Main Theorem in Elliptic Functions

$$q = \exp(-\pi K'/K),$$

$$(a)_0 := 1, \quad (a)_n := a(a+1)\cdots(a+n-1), \quad n \geq 1.$$

$${}_2F_1(a, b; c; x) := \sum_{n=0}^{\infty} \frac{(a)_n (b)_n}{(c)_n n!} x^n, \quad |x| < 1.$$

$$\begin{aligned} \varphi^2(q) &= {}_2F_1\left(\frac{1}{2}, \frac{1}{2}; 1; k^2\right) \\ &= \frac{2}{\pi} \int_0^{\pi/2} \frac{d\theta}{\sqrt{1 - k^2 \sin^2 \theta}} =: \frac{2}{\pi} K(k). \end{aligned}$$

**Multiplier**

$$m = \frac{\varphi^2(q)}{\varphi^2(q^n)} = \frac{{}_2F_1\left(\frac{1}{2}, \frac{1}{2}; 1; \alpha\right)}{{}_2F_1\left(\frac{1}{2}, \frac{1}{2}; 1; \beta\right)}.$$



# Examples of Modular Equations

$$z = \varphi^2(q), \quad z_n = \varphi^2(q^n)$$

Third Order

$$(\alpha\beta)^{1/4} + \{(1 - \alpha)(1 - \beta)\}^{1/4} = 1,$$

Seventh Order

$$(\alpha\beta)^{1/8} + \{(1 - \alpha)(1 - \beta)\}^{1/8} = 1.$$

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1	17	3,9	3,13,39	5,27,135	11,13,143
3	19	5,25	3,21,63	7,9,63	11,21,231
7	23	3,5,15	3,29,87	7,17,119	13,19,247
11	31	3,7,21	5,7,35	7,25,175	15,17,255
13	47	3,9,27	5,11,55	9,15,135	
15	51	3,11,33	5,19,95	9,23,207	

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## Theorem

Let  $x = \alpha = k^2$ .

(i)  $\varphi(q) = \sqrt{z},$

(ii)  $\varphi(-q) = \sqrt{z}(1-x)^{1/4},$

(iii)  $\varphi(-q^2) = \sqrt{z}(1-x)^{1/8},$

(iv)  $\varphi(q^2) = \sqrt{z} \sqrt{\frac{1}{2} (1 + \sqrt{1-x})},$

(v)  $\varphi(q^4) = \frac{1}{2} \sqrt{z} (1 + (1-x)^{1/4}),$

(vi)  $\varphi(\sqrt{q}) = \sqrt{z} (1 + \sqrt{x})^{1/2},$

(vii)  $\varphi(-\sqrt{q}) = \sqrt{z} (1 - \sqrt{x})^{1/2}.$

## Theorem

- (i) 
$$\psi(q) = \sqrt{\frac{1}{2}z(x/q)^{1/8}},$$
- (ii) 
$$\psi(-q) = \sqrt{\frac{1}{2}z(x(1-x)/q)^{1/8}},$$
- (iii) 
$$\psi(q^2) = \frac{1}{2}\sqrt{z(x/q)^{1/4}},$$
- (iv) 
$$\psi(q^4) = \frac{1}{2}\sqrt{\frac{1}{2}z} \left\{ \left(1 - \sqrt{1-x}\right) / q \right\}^{1/2},$$
- (v) 
$$\psi(q^8) = \frac{1}{4}\sqrt{z} \{1 - (1-x)^{1/4}\} / q,$$
- (vi) 
$$\psi(\sqrt{q}) = \sqrt{z} \left\{ \frac{1}{2}(1 + \sqrt{x}) \right\}^{1/4} (x/q)^{1/16},$$
- (vii) 
$$\psi(-\sqrt{q}) = \sqrt{z} \left\{ \frac{1}{2}(1 - \sqrt{x}) \right\}^{1/4} (x/q)^{1/16}.$$

## Theorem

- (i)  $f(q) = \sqrt{z}2^{-1/6} \{x(1-x)/q\}^{1/24},$
- (ii)  $f(-q) = \sqrt{z}2^{-1/6}(1-x)^{1/6}(x/q)^{1/24},$
- (iii)  $f(-q^2) = \sqrt{z}2^{-1/3} \{x(1-x)/q\}^{1/12},$
- (iv)  $f(-q^4) = \sqrt{z}4^{-1/3}(1-x)^{1/24}(x/q)^{1/6}.$

# Examples of multipliers

## Entry (p. 351)

*If  $\beta$  and the multiplier  $m$  have degree 3, then*

$$m^2 = \sqrt{\frac{\beta}{\alpha}} + \sqrt{\frac{1-\beta}{1-\alpha}} - \sqrt{\frac{\beta(1-\beta)}{\alpha(1-\alpha)}}.$$

## Entry (p. 351)

*If  $\beta$  and the multiplier  $m$  have degree 5, then*

$$m = \left(\frac{\beta}{\alpha}\right)^{1/4} + \left(\frac{1-\beta}{1-\alpha}\right)^{1/4} - \left(\frac{\beta(1-\beta)}{\alpha(1-\alpha)}\right)^{1/4}.$$

## Examples of multipliers

### Entry (p. 351)

If  $\beta$  and the multiplier  $m$  have degree 7, then

$$m^2 = \left(\frac{\beta}{\alpha}\right)^{1/2} + \left(\frac{1-\beta}{1-\alpha}\right)^{1/2} - \left(\frac{\beta(1-\beta)}{\alpha(1-\alpha)}\right)^{1/2} - 8 \left(\frac{\beta(1-\beta)}{\alpha(1-\alpha)}\right)^{1/3}.$$

### Entry (p. 351)

If  $\beta$  and the multiplier  $m$  have degree 9, then

$$\sqrt{m} = \left(\frac{\beta}{\alpha}\right)^{1/8} + \left(\frac{1-\beta}{1-\alpha}\right)^{1/8} - \left(\frac{\beta(1-\beta)}{\alpha(1-\alpha)}\right)^{1/8}.$$

# Examples of multipliers

Entry (p. 352)

If  $\beta$  and the multiplier  $m$  have degree 13, then

$$m = \left(\frac{\beta}{\alpha}\right)^{1/4} + \left(\frac{1-\beta}{1-\alpha}\right)^{1/4} - \left(\frac{\beta(1-\beta)}{\alpha(1-\alpha)}\right)^{1/4} - 4 \left(\frac{\beta(1-\beta)}{\alpha(1-\alpha)}\right)^{1/6}.$$



# Examples of multipliers

Entry (p. 352)

If  $\beta$  and the multiplier  $m$  have degree 17, then

$$m = \left(\frac{\beta}{\alpha}\right)^{1/4} + \left(\frac{1-\beta}{1-\alpha}\right)^{1/4} + \left(\frac{\beta(1-\beta)}{\alpha(1-\alpha)}\right)^{1/4} \\ - 2 \left(\frac{\beta(1-\beta)}{\alpha(1-\alpha)}\right)^{1/8} \left\{ 1 + \left(\frac{\beta}{\alpha}\right)^{1/8} \right. \\ \left. + \left(\frac{1-\beta}{1-\alpha}\right)^{1/8} \right\}.$$

## More General Transformation Formulas

Recall that  $m$  can be represented as a quotient of hypergeometric functions. Thus, the formulas for  $m$  can be regarded as transformation formulas for hypergeometric functions. Can any of these transformation formulas be generalized by replacing the parameters  $\frac{1}{2}$ ,  $\frac{1}{2}$ ,  $1$  by functions of  $\alpha$  and  $\beta$ ?

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$$\begin{aligned} {}_2F\left(a, b; 2b; \frac{4x}{(1+x)^2}\right) \\ = (1+x)^{2a} {}_2F_1\left(a, a + \frac{1}{2} - b; b + \frac{1}{2}; x^2\right) \end{aligned}$$

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How did Ramanujan derive formulas for  $m$ ?

How did Ramanujan derive modular equations, in general.

# Sums of Theta Functions

## Theorem (Entry 31, Chapter 16)

Let, for each positive integer  $n$ ,

$$U_n = a^{n(n+1)/2} b^{n(n-1)/2},$$

$$V_n = a^{n(n-1)/2} b^{n(n+1)/2}.$$

Then

$$f(a, b) = \sum_{r=0}^{n-1} U_r f\left(\frac{U_{n+r}}{U_r}, \frac{V_{n-r}}{U_r}\right).$$

# Sums of Theta Functions

$$T(x, q) := \sum_{n=-\infty}^{\infty} x^n q^{n^2}, \quad x \neq 0, \quad |q| < 1$$

## Theorem (Schröter's Formula)

For positive integers  $a, b$ ,

$$\begin{aligned} T(x, q^a) T(y, q^b) &= \sum_{n=0}^{a+b-1} y^n q^{bn^2} T(xyq^{2bn}, q^{a+b}) \\ &\quad \times T(x^{-b}y^a q^{2abn}, q^{ab^2+a^2b}). \end{aligned}$$

# Sums of Theta Functions

## Example

If  $\mu$  is an odd positive integer,

$$\begin{aligned} & \psi(q^{\mu+\nu})\psi(q^{\mu-\nu}) \\ &= q^{\mu^2/4-\mu/4}\psi(q^{2\mu(\mu^2-\nu^2)})f(q^{\mu+\mu\nu}, q^{\mu-\mu\nu}) \\ &+ \sum_{m=0}^{(\mu-3)/2} q^{\mu m(m+1)} f\left(q^{(\mu+2m+1)(\mu^2-\nu^2)},\right. \\ & \left. q^{(\mu-2m-1)(\mu^2-\nu^2)}\right) f(q^{\mu+\nu+2\nu m}, q^{\mu-\nu-2\nu m}). \end{aligned}$$



# Eta Function Identities

$$(a; q)_{\infty} = \prod_{n=0}^{\infty} (1 - aq^n), \quad |q| < 1$$

$$f(-q) = (q; q)_{\infty} = e^{-2\pi i\tau/24} \eta(\tau), \quad q = e^{2\pi i\tau}.$$

## Theorem

Let

$$P = \frac{f(-q)}{q^{1/4}f(-q^7)} \quad \text{and} \quad Q = \frac{f(-q^3)}{q^{3/4}f(-q^{21})}.$$

Then

$$PQ + \frac{7}{PQ} = \left(\frac{Q}{P}\right)^2 - 3 + \left(\frac{P}{Q}\right)^2.$$

# Combinatorics of Theta Function Identities

## Theorem

*Let  $S$  be the set consisting of one copy of the positive integers and one additional copy of those positive integers that are multiples of 7. If  $k \in \mathbb{Z}^+$ , the number of partitions of  $2k$  into even elements of  $S$  is equal to the number of partitions of  $2k + 1$  into odd elements of  $S$ .*

# Combinatorics of Theta Function Identities

## Theorem

*Let  $S$  denote the set consisting of two copies, say in colors orange and blue, of the positive integers and one additional copy, say in color red, of those positive integers that are not multiples of 3. Let  $A(N)$  and  $B(N)$  denote the number of partitions of  $2N$  into odd elements and even elements, respectively, of  $S$ . Then, for  $N \geq 1$ ,*

$$A(N) = B(N).$$

# Combinatorics of Theta Function Identities

$$\left(\frac{\alpha^3}{\beta}\right)^{1/8} - \left(\frac{(1-\alpha)^3}{1-\beta}\right)^{1/8} = 1.$$

$$\begin{aligned} &(-q; q^2)_{\infty}^2 (-q, -q^5; q^6)_{\infty} + (q; q^2)_{\infty}^2 (q, q^5; q^6)_{\infty} \\ &= 2(-q^2; q^2)_{\infty}^2 (-q^2, -q^4; q^6)_{\infty}. \end{aligned}$$

$$(a_1, a_2, \dots, a_n; q)_{\infty} := (a_1; q)_{\infty} (a_2; q)_{\infty} \cdots (a_n; q)_{\infty}$$

# Combinatorics of Theta Function Identities

$A(3) = 12 = B(3)$ , with the twelve representations in odd and even elements being given respectively by

$$\begin{aligned}5_o + 1_o &= 5_o + 1_b = 5_o + 1_r = 5_b + 1_o = 5_b + 1_b \\ &= 5_b + 1_r = 5_r + 1_o = 5_r + 1_b \\ &= 5_r + 1_r = 3_o + 3_b = 3_o + 1_o + 1_b + 1_r \\ &= 3_b + 1_o + 1_b + 1_r,\end{aligned}$$

$$\begin{aligned}6_o = 6_b &= 4_o + 2_o = 4_o + 2_b = 4_o + 2_r \\ &= 4_b + 2_o = 4_b + 2_b = 4_b + 2_r \\ &= 4_r + 2_o = 4_r + 2_b = 4_r + 2_r \\ &= 2_o + 2_b + 2_r.\end{aligned}$$

# Sums of Squares and Triangular Numbers

## Definition

$r_k(n)$  denotes the number of representations of  $n$  as the sum of  $k$  squares.

$t_k(n)$  denotes the number of representations of  $n$  as the sum of  $k$  triangular numbers.

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$$\varphi^8(q) = 1 + 16 \sum_{n=1}^{\infty} \frac{n^3 q^n}{1 - (-q)^n}.$$

$$r_8(n) = 16(-1)^n \sum_{d|n} (-1)^d d^3 \quad (\text{Jacobi})$$

# Sums of Squares and Triangular Numbers

$\sigma(n)$  denotes the sum of the positive divisors of  $n$ .

$$q\psi^4(q^2) = \sum_{n=0}^{\infty} \frac{(2n+1)q^{2n+1}}{1-q^{4n+2}}.$$

$$t_4(n) = \sigma(2n+1) \quad (\text{Legendre})$$

**Example**  $n = 4$

$$\sigma(9) = 1 + 3 + 9 = 13$$

$$1 + 0 + 3 + 0, \quad 4 \cdot 3 = 12, \quad 1 + 1 + 1 + 1$$



# Sums of Numbers of the Form $m^2 + mn + n^2$

$$a(q) := \sum_{m,n=-\infty}^{\infty} q^{m^2+mn+n^2}$$

If  $\chi_0(n)$  denotes the principal character modulo 3, then

$$a^2(q) = 1 + 12 \sum_{n=1}^{\infty} \chi_0(n) \frac{nq^n}{1-q^n}.$$

If  $\left(\frac{n}{3}\right)$  denotes the Legendre symbol, then

$$\begin{aligned} a^3(q) &= 1 - 9 \sum_{n=1}^{\infty} \left(\frac{n}{3}\right) \frac{n^2 q^n}{1-q^n} \\ &\quad + 27 \sum_{n=1}^{\infty} \frac{n^2 q^n}{1+q^n+q^{2n}}. \end{aligned}$$

# Arithmetic Interpretation

$r_{k,Q}(n) = \#$  of representations of the positive integer  $n$  as a sum of  $k$  positive definite quadratic forms

$$Q = Q(a, b, c) = ax^2 + bxy + cy^2.$$

Let  $Q = x^2 + xy + y^2$ . Then

$$\sum_{N=1}^{\infty} r_{2,Q}(N)q^N = 12 \sum_{N=1}^{\infty} \sigma(N; \chi_0)q^N,$$

where  $\sigma(N; \chi_0)$  denotes the sum of the divisors of  $N$  that are not multiples of 3. Thus, for  $N \geq 1$ ,

$$r_{2,Q}(N) = 12\sigma(N; \chi_0).$$

# Example

**Example.** Let  $N = 3$ . Then

$$\begin{aligned} 3 &= (\pm 1)^2 + (\pm 1)(\pm 1) + (\pm 1)^2 + 0 \\ &\quad (m = n = 1; m = n = -1) \quad 4 \\ &= (-2)^2 + (-2)(1) + 1^2 + 0 \\ &\quad (m = 1, n = -2; m = -1; n = 2; \\ &\quad m = 2, n = -1; m = -2, n = 1) \quad 8 \end{aligned}$$

# Class Invariants and Singular Moduli

$$\chi(q) := (-q; q^2)_\infty$$

If  $n$  is any positive rational number and  $q = \exp(-\pi\sqrt{n})$ ,

$$G_n := 2^{-1/4} q^{-1/24} \chi(q),$$

$$g_n := 2^{-1/4} q^{-1/24} \chi(-q).$$

$$\alpha_n := \alpha(e^{-\pi\sqrt{n}})$$

is the *singular modulus*.

$$G_n = \{4\alpha_n(1 - \alpha_n)\}^{-1/24},$$

$$g_n = 2^{-1/12} (1 - \alpha_n)^{1/12} \alpha_n^{-1/24}$$

# Examples of Class Invariants

$$G_5 = \left( \frac{1 + \sqrt{5}}{2} \right)^{1/4},$$

$$G_9 = \left( \frac{1 + \sqrt{3}}{\sqrt{2}} \right)^{1/3},$$

$$G_{13} = \left( \frac{3 + \sqrt{13}}{2} \right)^{1/4},$$

$$G_{69} = \left( \frac{5 + \sqrt{23}}{\sqrt{2}} \right)^{1/12} \left( \frac{3\sqrt{3} + \sqrt{23}}{2} \right)^{1/8} \\ \times \left( \sqrt{\frac{6 + 3\sqrt{3}}{4}} + \sqrt{\frac{2 + 3\sqrt{3}}{4}} \right)^{1/2}$$

## Examples of Class Invariants

$$G_{117} = \left( \frac{3 + \sqrt{13}}{2} \right)^{1/4} (2\sqrt{3} + \sqrt{13})^{1/6} \\ \times \left( 3^{1/4} + \sqrt{4 + \sqrt{3}} \right)$$

# Examples of Class Invariants

$$\begin{aligned} G_{1353} &= (3 + \sqrt{11})^{1/4} (5 + 3\sqrt{3})^{1/4} \\ &\times \left( \frac{11 + \sqrt{123}}{2} \right)^{1/4} \left( \frac{6817 + 321\sqrt{451}}{4} \right)^{1/12} \\ &\times \left( \sqrt{\frac{17 + 3\sqrt{33}}{8}} + \sqrt{\frac{25 + 3\sqrt{33}}{8}} \right)^{1/2} \\ &\times \left( \sqrt{\frac{561 + 99\sqrt{33}}{8}} + \sqrt{\frac{569 + 99\sqrt{33}}{8}} \right)^{1/2} \end{aligned}$$

# Approximations to $\pi$

$$\pi \approx \frac{24}{\sqrt{142}} \log \left( \frac{\sqrt{10 + 11\sqrt{2}} + \sqrt{10 + 7\sqrt{2}}}{2} \right),$$

$$\pi \approx \frac{12}{\sqrt{190}} \log \left( (3 + \sqrt{10})(2\sqrt{2} + \sqrt{10}) \right).$$

approximate  $\pi$  to 15, 18 decimals, resp.



## Examples of Singular Moduli

$$\begin{aligned}k_{210} &= (\sqrt{2} - 1)^4 (2 - \sqrt{3})^2 (\sqrt{7} - \sqrt{6})^4 \\ &\quad \times (8 - 3\sqrt{7})^2 (\sqrt{10} - 3)^4 (4 - \sqrt{15})^4 \\ &\quad \times (\sqrt{15} - \sqrt{14})^2 (6 - \sqrt{35})^2.\end{aligned}$$

$$\alpha_3 = \frac{2 - \sqrt{3}}{4},$$

$$\alpha_6 = (2 - \sqrt{3})^2 (\sqrt{3} - \sqrt{2})^2,$$

$$\begin{aligned}\alpha_{13} &= \frac{1}{2} \left( \frac{\sqrt{13} - 3}{2} \right)^3, \\ &\quad \times \left( \sqrt{\frac{7 + \sqrt{13}}{4}} - \sqrt{\frac{3 + \sqrt{13}}{4}} \right)^4\end{aligned}$$

## Examples of Singular Moduli

$$\alpha_{15} = \frac{1}{16} \left( \frac{\sqrt{5}-1}{2} \right)^4 (2-\sqrt{3})^2 (4-\sqrt{15}),$$

$$\alpha_{55} = 4 (\sqrt{5}-2)^2 (10-3\sqrt{11})(3\sqrt{5}-2\sqrt{11})$$

$$\times \left( \sqrt{\frac{7+\sqrt{5}}{8}} - \sqrt{\frac{\sqrt{5}-1}{8}} \right)^{12},$$

$$\times \left( \sqrt{\frac{4+\sqrt{5}}{2}} - \sqrt{\frac{2+\sqrt{5}}{2}} \right)^4$$

$$\alpha_{58} = (13\sqrt{58}-99)^2 (99-70\sqrt{2})^2,$$

## Examples of Singular Moduli

$$\alpha_{190} = \left( \frac{3\sqrt{19} - 13}{\sqrt{2}} \right)^4 (37\sqrt{19} - 51\sqrt{10})^2 \\ \times (2\sqrt{5} - \sqrt{19})^4 (\sqrt{19} - 3\sqrt{2})^4.$$

$$a_{m,n} := ne^{-(\pi/4)(n-1)\sqrt{m/n}} \\ \times \frac{\psi^2(e^{-\pi\sqrt{mn}})\varphi^2(-e^{-2\pi\sqrt{mn}})}{\psi^2(e^{-\pi\sqrt{m/n}})\varphi^2(-e^{-2\pi\sqrt{m/n}})}$$

$$a_{3,7} = 2 - \sqrt{3},$$

$$a_{3,13} = \left( \sqrt{\frac{5 + \sqrt{13}}{8}} - \sqrt{\frac{\sqrt{13} - 3}{8}} \right)^8,$$

# The Rogers–Ramanujan Continued Fraction

$$R(q) := \frac{q^{1/5}}{1} + \frac{q}{1} + \frac{q^2}{1} + \frac{q^3}{1} + \dots, \quad |q| < 1$$

$$R(e^{-2\pi}) = \sqrt{\frac{5 + \sqrt{5}}{2}} - \frac{\sqrt{5} + 1}{2}$$

## Theorem

Let  $a = 60^{1/4}$ ,  $b = 2 - \sqrt{3} + \sqrt{5}$ . If

$$2c = \frac{a+b}{a-b}\sqrt{5} + 1,$$

then

$$R(e^{-6\pi}) = \sqrt{c^2 + 1} - c.$$

# My Mother's First Birthday

**G. H. Hardy to Ramanujan**

26 March 1913

What I should like above all is a definite proof of some of your results concerning continued fractions of the type

$$\frac{x}{1} + \frac{x^2}{1} + \frac{x^3}{1} + \dots;$$

and I am quite sure that the wisest thing you can do, in your own interests, is to let me have one as soon as possible.

# How to Spend Christmas Eve

**G. H. Hardy to Ramanujan**

24 December 1913

If you will send me your proof written out carefully (so that it is easy to follow), I will (assuming that I agree with it—of which I have very little doubt) try to get it published for you in England. Write it in the form of a paper ‘On the continued fraction

$$\frac{x}{1} + \frac{x^2}{1} + \frac{x^3}{1} + \dots,$$

giving a full proof of the principal and most remarkable theorem, viz. that the fraction can be expressed in finite terms when  $x = e^{-\pi\sqrt{n}}$ , when  $\underline{n}$  is rational.

# The Rogers–Ramanujan Functions

$$G(q) := \sum_{n=0}^{\infty} \frac{q^{n^2}}{(q; q)_n}$$
$$H(q) := \sum_{n=0}^{\infty} \frac{q^{n(n+1)}}{(q; q)_n}.$$



# The Rogers–Ramanujan Functions

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L. J. Rogers

G. N. Watson

David Bressoud

A. J. F. Biagioli

Hamza Yesilyurt

# Identities for $G(q)$ and $H(q)$

$$G(q^{11})H(q) - q^2 G(q)H(q^{11}) = 1.$$

$$G(-q^6)H(-q) - qH(-q^6)G(-q) = \frac{\chi(q^2)\chi(q^3)}{\chi(q)\chi(q^6)}.$$

$$\chi(q) := (-q; q^2)_\infty$$

$$\begin{aligned} & \frac{G(q)G(q^{54}) + q^{11}H(q)H(q^{54})}{G(q^{27})H(q^2) - q^5 G(q^2)H(q^{27})} \\ &= \frac{\chi(-q^3)\chi(-q^{27})}{\chi(-q)\chi(-q^9)}. \end{aligned}$$

# Identities for $G(q)$ and $H(q)$

$$\begin{aligned} & \{G(q^2)G(q^{23}) + q^5H(q^2)H(q^{23})\} \\ & \quad \times \{G(q^{46})H(q) - q^9G(q)H(q^{46})\} \\ & = \chi(-q)\chi(-q^{23}) + q + \frac{2q^2}{\chi(-q)\chi(-q^{23})}. \end{aligned}$$

$$\begin{aligned} & \{G(q)G(q^{94}) + q^{19}H(q)H(q^{94})\} \\ & \quad \times \{G(q^{47})H(q^2) - q^9G(q^2)H(q^{47})\} \\ & = \chi(-q)\chi(-q^{47}) + 2q^2 + \frac{2q^4}{\chi(-q)\chi(-q^{47})} \\ & \quad + q\sqrt{4\chi(-q)\chi(-q^{47}) + 9q^2 + \frac{8q^4}{\chi(-q)\chi(-q^{47})}}. \end{aligned}$$

$$P(q) := 1 - 24 \sum_{k=1}^{\infty} \frac{kq^k}{1 - q^k}, \quad (2)$$

$$Q(q) := 1 + 240 \sum_{k=1}^{\infty} \frac{k^3 q^k}{1 - q^k}, \quad (3)$$

$$R(q) := 1 - 504 \sum_{k=1}^{\infty} \frac{k^5 q^k}{1 - q^k}. \quad (4)$$

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$$R(q) := 1 - 504 \sum_{k=1}^{\infty} \frac{k^5 q^k}{1 - q^k}. \quad (4)$$

$$E_4(\tau) = Q(q), \quad E_6(\tau) = R(q), \quad q = e^{2\pi i\tau}.$$

# Eisenstein Series

$$Q(q) = z^4(1 + 14x + x^2),$$

$$Q(q^2) = z^4(1 - x + x^2),$$

$$R(q) = z^6(1 + x)(1 - 34x + x^2),$$

$$R(q^2) = z^6(1 + x)(1 - \frac{1}{2})(1 - 2x).$$

# Eisenstein Series

$$Q(q) = z^4(1 + 14x + x^2),$$

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$$R(q^2) = z^6(1 + x)(1 - \frac{1}{2})(1 - 2x).$$

$$\begin{aligned} Q(q) &= \frac{f^{10}(-q)}{f^2(-q^5)} + 250qf^4(-q)f^4(-q^5) \\ &\quad + 3125q^2 \frac{f^{10}(-q^5)}{f^2(-q)} \end{aligned}$$

# Eisenstein Series

$$T_{2k}(q) := 1 + \sum_{n=1}^{\infty} (-1)^n \left\{ (6n-1)^{2k} q^{n(3n-1)/2} \right. \\ \left. + (6n+1)^{2k} q^{n(3n+1)/2} \right\},$$



# Eisenstein Series

$$T_{2k}(q) := 1 + \sum_{n=1}^{\infty} (-1)^n \left\{ (6n-1)^{2k} q^{n(3n-1)/2} + (6n+1)^{2k} q^{n(3n+1)/2} \right\},$$

$$\frac{T_2(q)}{(q; q)_{\infty}} = P,$$

$$\frac{T_4(q)}{(q; q)_{\infty}} = 3P^2 - 2Q,$$

$$\frac{T_6(q)}{(q; q)_{\infty}} = 15P^3 - 30PQ + 16R.$$

## Corollary

For  $n \geq 1$ , let  $\sigma(n) = \sum_{d|n} d$ , and define  $\sigma(0) = -\frac{1}{24}$ . Let  $n$  denote a nonnegative integer. Then

$$\begin{aligned} -24 \sum_{\substack{j+k(3k\pm 1)/2=n \\ j,k \geq 0}} (-1)^k \sigma(j) \\ &= \begin{cases} (-1)^r (6r-1)^2, & \text{if } n = r(3r-1)/2, \\ (-1)^r (6r+1)^2, & \text{if } n = r(3r+1)/2, \\ 0, & \text{otherwise.} \end{cases} \end{aligned}$$

## Companion Corollary

$$\sigma(n) = \sum_{k=-\infty}^{\infty} (-1)^{k+1} \frac{k(3k+1)}{2} p\left(n - \frac{k(3k+1)}{2}\right).$$

# Modular Equations and Approximations to $\pi$

$$f_n(q) := nP(q^{2^n}) - P(q^2),$$

Twelve values of  $n$ , namely,  $n = 2, 3, 4, 5, 7, 11, 15, 17, 19, 23, 31,$  and  $35$ .

$n = 2$  and  $4$  are in Chapter 17 in Ramanujan's second notebook; the remaining ten values and for  $n = 9$  and  $n = 25$  are in Chapter 21 of his second notebook.

$$f_7(q) = 3z(q)z(q^7) \left( 1 + \sqrt{\alpha(q)\alpha(q^7)} + \sqrt{(1 - \alpha(q))(1 - \alpha(q^7))} \right).$$

# Modular Equations and Approximations to $\pi$

$$\frac{4}{\pi} = \sum_{n=0}^{\infty} (6n + 1) \frac{\left(\frac{1}{2}\right)_n^3}{n!^3} \frac{1}{4^n},$$

$$\frac{16}{\pi} = \sum_{n=0}^{\infty} (42n + 5) \frac{\left(\frac{1}{2}\right)_n^3}{n!^3} \frac{1}{2^{6n}},$$

$$\frac{5\sqrt{5}}{\pi} = \sum_{k=0}^{\infty} (28k + 3) \frac{\left(\frac{1}{6}\right)_k \left(\frac{1}{2}\right)_k \left(\frac{5}{6}\right)_k}{k!^3} \left(\frac{3}{5}\right)^{3k}$$

# Modular Equations and Approximations to $\pi$

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Nayandeep Deka Baruah

Jonathan and Peter Borwein

Sarvadaman Chowla

David and Gregory Chudnovsky

Heng Huat Chan

Jesús Guillera

Wadim Zudilin

# Ramanujan's Theories of Elliptic Functions to Alternative Bases

$$q = \exp\left(-\pi \frac{{}_2F_1\left(\frac{1}{2}, \frac{1}{2}; 1; 1-x\right)}{{}_2F_1\left(\frac{1}{2}, \frac{1}{2}; 1; x\right)}\right).$$

# Ramanujan's Theories of Elliptic Functions to Alternative Bases

$$q = \exp \left( -\pi \frac{{}_2F_1\left(\frac{1}{2}, \frac{1}{2}; 1; 1-x\right)}{{}_2F_1\left(\frac{1}{2}, \frac{1}{2}; 1; x\right)} \right).$$

$$q_r = \exp \left( -\pi \csc(\pi/r) \frac{{}_2F_1\left(\frac{1}{r}, \frac{r-1}{r}; 1; 1-x\right)}{{}_2F_1\left(\frac{1}{r}, \frac{r-1}{r}; 1; x\right)} \right).$$



# Ramanujan's Theories of Elliptic Functions to Alternative Bases

$$q = \exp \left( -\pi \frac{{}_2F_1\left(\frac{1}{2}, \frac{1}{2}; 1; 1-x\right)}{{}_2F_1\left(\frac{1}{2}, \frac{1}{2}; 1; x\right)} \right).$$

$$q_r = \exp \left( -\pi \csc(\pi/r) \frac{{}_2F_1\left(\frac{1}{r}, \frac{r-1}{r}; 1; 1-x\right)}{{}_2F_1\left(\frac{1}{r}, \frac{r-1}{r}; 1; x\right)} \right).$$

$r = 2$ , classical theory,

$r = 3$ , cubic theory,

$r = 4$ , quartic theory,

$r = 6$ , sextic theory.

# Functions to Alternative Bases

$$a(q) := \sum_{m,n=-\infty}^{\infty} q^{m^2+mn+n^2},$$

$$b(q) := \sum_{m,n=-\infty}^{\infty} \omega^{m-n} q^{m^2+mn+n^2},$$

$$c(q) := \sum_{m,n=-\infty}^{\infty} q^{(m+1/3)^2+(m+1/3)(n+1/3)+(n+1/3)^2}.$$

## Theorem (Cubic Transformation)

For  $|x|$  sufficiently small,

$$\begin{aligned} & {}_2F_1\left(\frac{1}{3}, \frac{2}{3}; 1; 1 - \left(\frac{1-x}{1+2x}\right)^3\right) \\ &= (1+2x) {}_2F_1\left(\frac{1}{3}, \frac{2}{3}; x^3\right). \end{aligned}$$

# Functions to Alternative Bases

## Theorem

**Modular Equation of Degree 5 in the Cubic Theory.** *If  $\beta$  has degree 5, then*

$$(\alpha\beta)^{1/3} + \{(1 - \alpha)(1 - \beta)\}^{1/3} + 3\{\alpha\beta(1 - \alpha)(1 - \beta)\}^{1/6} = 1.$$

# Functions to Alternative Bases

## Theorem

**Modular Equation of Degree 5 in the Cubic Theory.** *If  $\beta$  has degree 5, then*

$$(\alpha\beta)^{1/3} + \{(1-\alpha)(1-\beta)\}^{1/3} + 3\{\alpha\beta(1-\alpha)(1-\beta)\}^{1/6} = 1.$$

## Theorem

**Modular Equation of Degree 5 in the Quartic Theory.** *If  $\beta$  has degree 5, then*

$$\begin{aligned} &(\alpha\beta)^{1/2} + \{(1-\alpha)(1-\beta)\}^{1/2} \\ &+ 8\{\alpha\beta(1-\alpha)(1-\beta)\}^{1/6} \\ &\times \left( (\alpha\beta)^{1/6} + \{(1-\alpha)(1-\beta)\}^{1/6} \right) = 1. \end{aligned}$$

# Ramanujan's Cubic Class Invariant

$$\begin{aligned}\lambda_n &= \frac{1}{3\sqrt{3}} \frac{f^6(q)}{\sqrt{q}f^6(q^3)} \\ &= \frac{1}{3\sqrt{3}} \left( \frac{\eta\left(\frac{1+i\sqrt{n/3}}{2}\right)}{\eta\left(\frac{1+i\sqrt{3n}}{2}\right)} \right)^6,\end{aligned}$$

where  $q = e^{-\pi\sqrt{n/3}}$ .

$$\lambda_9 = 3,$$

$$\lambda_{17} = 4 + \sqrt{17},$$

$$\lambda_{73} = \left( \sqrt{\frac{11 + \sqrt{73}}{8}} + \sqrt{\frac{3 + \sqrt{73}}{8}} \right)^6.$$

# Identities in Two Variables

## Entry

For each positive integer  $n$  and  $|ab| < 1$ ,

$$\sum_{-\frac{n}{2} < r \leq \frac{n}{2}} \left( \sum_{\substack{k=-\infty \\ k \equiv r \pmod{n}}^{\infty}} (a^{1/n})^{k(k+1)/2} (b^{1/n})^{k(k-1)/2} \right)^n = f(a, b) F_n(ab),$$

where

$$F_n(x) := 1 + 2nx^{(n-1)/2} + \dots, \quad n \geq 3.$$

# Identities in Two Variables

$$F_2(x) = \varphi(\sqrt{x}),$$

$$\begin{aligned} F_3(x) &= \left( \frac{f^9(-x)}{f^3(-x^3)} + 27x \frac{f^9(-x^3)}{f^3(-x)} \right)^{1/3} \\ &= \frac{\psi^3(x)}{\psi(x^3)} + 3x \frac{\psi^3(x^3)}{\psi(x)}. \end{aligned}$$



# Identities in Two Variables

Entry (page 321, Ramanujan's Second Notebook)

If  $\left(\frac{n}{3}\right)$  denotes the Legendre symbol, then for  $|ab| < 1$ ,

$$\begin{aligned} f^3(a^2b, ab^2) + af^3(b, a^3b^2) + bf^3(a, a^2b^3) \\ = f(a, b) \left( 1 + 6 \sum_{n=1}^{\infty} \left(\frac{n}{3}\right) \frac{a^n b^n}{1 - a^n b^n} \right). \end{aligned}$$

# Identities in Two Variables

Entry (page 328, Ramanujan's Second Notebook)

If  $|ab| < 1$ ,

$$\begin{aligned} & \{f(a, b) - f(a^6 b^3, a^3 b^6)\}^3 \\ &= \frac{f(a^3, b^3)}{f(a^6 b^3, a^3 b^6)} f^3(a^2 b, ab^2) - f^3(a^6 b^3, a^3 b^6). \end{aligned}$$

# Identities in Two Variables

Entry (p. 207, Lost Notebook)

If

$$P = \frac{f(-\lambda^{10}q^7, -\lambda^{15}q^8) + \lambda q f(-\lambda^5q^2, -\lambda^{20}q^{13})}{q^{1/5}f(-\lambda^{10}q^5, -\lambda^{15}q^{10})},$$

$$Q = \frac{\lambda f(-\lambda^5q^4, -\lambda^{20}q^{11}) - \lambda^3 q f(-q, -\lambda^{25}q^{14})}{q^{-1/5}f(-\lambda^{10}q^5, -\lambda^{15}q^{10})},$$

then

$$P - Q = 1 + \frac{f(-q^{1/5}, -\lambda q^{2/5})}{q^{1/5}f(-\lambda^{10}q^5, -\lambda^{15}q^{10})},$$

$$PQ = 1 - \frac{f(-\lambda, -\lambda^4q^3)f(-\lambda^2q, -\lambda^3q^2)}{f^2(-\lambda^{10}q^5, -\lambda^{15}q^{10})},$$

# Identities in Two Variables

$$P^5 - Q^5 = 1 + 5PQ + 5P^2Q^2 + \frac{f(-q, -\lambda^5 q^2)f^5(-\lambda^2 q, -\lambda^3 q^2)}{q f^6(-\lambda^{10} q^5, -\lambda^{15} q^{10})}.$$

# Identities in Two Variables

Let  $\lambda = 1$ .

$$P = \frac{f(-q^7, -q^8) + qf(-q^2, -q^{13})}{q^{1/5}f(-q^5)} = \frac{1}{R(q)},$$
$$Q = \frac{f(-q^4, -q^{11}) - qf(-q, -q^{14})}{q^{-1/5}f(-q^5)} = R(q).$$

# Identities in Two Variables

Let  $\lambda = 1$ .

$$P = \frac{f(-q^7, -q^8) + qf(-q^2, -q^{13})}{q^{1/5}f(-q^5)} = \frac{1}{R(q)},$$

$$Q = \frac{f(-q^4, -q^{11}) - qf(-q, -q^{14})}{q^{-1/5}f(-q^5)} = R(q).$$

$$\frac{1}{R(q)} - 1 - R(q) = \frac{f(-q^{1/5})}{q^{1/5}f(-q^5)},$$

$$PQ = 1,$$

$$\frac{1}{R^5(q)} - 11 - R^5(q) = \frac{f^6(-q)}{qf^6(-q^5)}.$$

# Further Appearances of Theta Functions in Ramanujan's Lost Notebook

$$\sum_{n=0}^{\infty} \frac{(-1)^n q^{(n+1)(n+2)/2}}{(q; q)_n (1 - q^{2n+1})} = qf(q, q^7),$$

$$\sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+1)/2}}{(q; q)_n (1 - q^{2n+1})} = f(q^3, q^5),$$

$$\sum_{n=0}^{\infty} \frac{(-q; q^2)_n q^{(n+1)(n+2)/2}}{(q; q)_n (q; q^2)_{n+1}} = \frac{qf(-q, -q^7)}{\varphi(-q)},$$

$$\sum_{n=0}^{\infty} \frac{(-q; q^2)_n q^{n(n+1)/2}}{(q; q)_n (q; q^2)_{n+1}} = \frac{f(-q^3, -q^5)}{\varphi(-q)}.$$

# False Theta Functions

$$\sum_{n=0}^{\infty} (-1)^n q^{n(n+1)/2}$$

$$\sum_{n=0}^{\infty} q^{3n^2+2n}(1 - q^{2n+1})$$

$$\sum_{n=0}^{\infty} q^{n(3n+1)/2}(1 - q^{2n+1})$$



## **Ramanujan to Hardy**

12 January 1920

I discovered very interesting functions recently which I call “Mock”  $\vartheta$ -functions. Unlike the “False”  $\vartheta$ -functions (studied partially by Prof. Rogers in his interesting paper) they enter into mathematics as beautifully as the ordinary  $\vartheta$ -functions.